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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Timothy D. Schubert, Clemente G. Garcia  
and Steve Karakas

Group Art Unit: 2161

Application No. 10/004,421

Filed: October 23, 2001

For: **MULTI-FACETED, TIER DRIVEN  
ELECTRONIC COMMERCE FACILITATOR**

Date: April 15, 2002

I HEREBY CERTIFY THAT THIS CORRESPONDENCE  
IS BEING DEPOSITED WITH THE UNITED STATES  
POSTAL SERVICE AS FIRST CLASS MAIL IN  
ENVELOPE ADDRESSED TO:  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

ON:

April 15, 2002

Kitty SISK  
Signature

Typed Name

**TRANSMITTAL LETTER****TO THE COMMISSIONER FOR PATENTS:**

Enclosed for filing in the above-referenced application are the following:

- Copy of executed Combined Declaration and Power of Attorney for Patent Application, executed in duplicate (four pages total)
- Notice to File Missing Parts of Nonprovisional Application
- Forty sheets of substitute drawings in compliance with 37 CFR 1.84
- Fee Transmittal (in duplicate)
- The enclosed check for \$435 covers the following fees:  
\$370 — small-entity filing fee  
\$ 65 — surcharge for late filing of Declaration
- Return receipt postcard

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with filing of these papers, or credit overpayment, to Account No. 19-4455.

Respectfully submitted,

Timothy D. Schubert, Clemente G. Garcia  
and Steve Karakas

By:

Christopher V. Panoff  
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Attorney Docket No. 26040/2:4

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004,421	10/23/2001	Timothy D. Schubert	26040/2:4

3528  
STOEL RIVES LLP  
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SUITE 2600  
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COPY OF PAPERS  
ORIGINALLY FILED

## CONFIRMATION NO. 7681

## FORMALITIES LETTER



\*OC00000007468358\*

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

*Hannanotver*  
A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE